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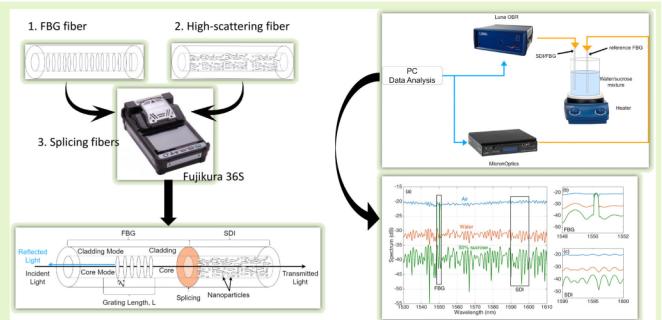
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# Optical Fiber Semi-Distributed Interferometer Assisted by an FBG for Thermorefractometry and Sweat Sensing

Kulyan Karipbayeva<sup>1</sup>, Wilfried Blanc<sup>2</sup>, and Daniele Tosi<sup>3</sup>

**Abstract**—Among optical fiber biosensors (OFB), the use of thermorefractometry is a promising approach for dual detection of refractive index (RI) and temperature with a single device, and it can find application in sweat analytes. We propose an optical fiber-based thermorefractometer made of a semi-distributed interferometer (SDI) supported by fiber Bragg gratings (FBGs), for the possible application of the detection of sweat biomarkers. The sensor is composed of two parts (SDI and FBG) integrated into a compact probe; the resulting spectrum is a composition of a periodic-like pattern throughout the whole spectrum (SDI) and a narrowband reflection peak at the Bragg wavelength (FBG). The thermorefractometer detects the RI changes with a sensitivity of 75.752 dB/RIU, while the FBG detects thermal changes at 9.94 pm/°C with negligible cross-sensitivity. We demonstrate the use of the SDI/FBG probe for the detection of two sweat markers. We tested the performance of the sensor in artificial sweat with azelaic acid and suberic acid achieving a sensitivity of 0.629/% dB and  $-0.088$  dB/%.

**Index Terms**—Enhanced backscattering fiber, Fabry-Perot interferometer, fiber Bragg grating (FBG), optical fiber sensor, refractive index (RI) sensor, sweat biomarkers, thermorefractometer.



## I. INTRODUCTION

**B**IOSENSORS perform a selective detection of biomolecules such as biomarkers, cells, bacteria, or pathogens [1]. The continuous development of rapid sensors with real-time response and in situ operation has gathered significant interest, particularly for the possibility of designing wearable and miniaturized devices [2]; as a result, there is a growing interest in the use of biosensors for the exploitation of analytes such as sweat, urine, saliva, or tears as a method for in situ biomarkers detection [3], [4], [5].

Optical fiber biosensors (OFB) provide an excellent platform for this task, thanks to their miniature form

factor, multiplexing capability, and high performance [6], [7]. OFB can be packaged into wearable devices such as smart textiles [8] or portable smartphone-based devices [9]. A promising approach for biosensing in the real application is given by the so-called thermorefractometry [10], in which the sensing unit performs a dual detection of refractive index (RI) and temperature. The RI detection can be employed in the detection of biomarkers, such as glucose level [11], [12], or can be further exploited through biofunctionalized platforms for a specific protein sensing system [13]; temperature sensing allows compensating the changes of thermal conditions such as body temperature or ambient conditions, and is an essential tool for the integration in fabrics for the realization of smart textiles [14]. The possibility of combining different sensing systems in a single fiber allows the design of efficient thermorefractometers. Among others, Imas et al. [10] presented a sensor formed by the combination of a lossy mode D-shaped resonant fiber for RI sensing and a fiber Bragg grating (FBG) for temperature sensing, integrated into an individual probe. Liu et al. [15] proposed the combination of an FBG with an interferometric structure, in which the broadband interferometer is spectrally separated from the narrowband FBG. Other methods based on gratings have been proposed by Ayupova et al. [16] using a chirped tapered FBG, in which the RI sensitivity is encoded in the spectral intensity and the thermal variations result in a wavelength shift. Other

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applications of dual RI/temperature and RI sensors based on fiber-optic technology have been reported in [17], [18], [19], [20], and [21].

In this work, we introduce a thermorefractometer based on an optical fiber design, formed by a semi-distributed interferometer (SDI) assisted by an FBG. The SDI sensor is formed by a distributed cavity derived from a high-scattering fiber terminated by the fiber cleave on the tip; this process allows a simple fabrication while maintaining a high sensitivity due to the Fresnel reflection occurring on the fiber tip. The FBG introduces a linear temperature sensitivity, and since it has a RI-insensitive behavior it can be used as a reference to robustly track temperature changes. Then, we demonstrate the use of the SDI/FBG sensor for the detection of sweat biomarkers.

Sweat is a biofluid used for metabolomics analysis to diagnose diseases, such as tuberculosis [22], Parkinson's disease [23], Schizophrenia [24], diabetes [25], cystic fibrosis [26], lung cancer [27], breast cancer [28], presence of antibiotics [29].

Sweat contains approximately 99% water and the rest varies from person to person [30], [31]. However, the diversification of 1% of composition is limited to organic acids such as lactate and pyruvate, xenobiotics, nitrogenous compounds such as amino acid residues and urine, metal ions and nonmetal ions including  $K^+$ ,  $Na^+$ , and  $Cl^-$  [24], [32]. The presence of unknown substances or unexpected concentrations of substances can act as a biomarker for the specific disease [24], [32], [33]. Metabolite analysis by a chromatographic separation allows the identification of a liquid composition which was used by Calderón-Santiago et al. [27] to identify individuals with lung cancer. Obtained mass spectra allowed authors to identify the list of ions present in the analyte. Among 16 metabolites that were identified, five are considered to be potential biomarkers of lung cancer.

Short-chain dicarboxylic acids such as azelaic acid and suberic acid, sugars including trihexose and tetrahexose, and monoglycerides are considered as potential biomarkers [27]. Dicarboxylic acids have been found in urine samples which were considered as a biomarker to distinguish between patients with benign and malignant tumors [34]. Sugar levels were elevated in individuals with lung cancer, while monoglycerides had considerably low levels. This is related to the relationship between the metabolism of important fatty acids and cancer growth [27], [35]. Fatty acids are used as a source for cancer cells' cellular proliferation, while sugar production is related to the enzyme activity to change tumor tissues [36].

The evaluation of the five biomarkers, shown in Table I, outlines the discrimination capability of a panel between individuals with lung cancer from individuals who smoke. The best discrimination capabilities are biomarkers with the highest partial area under the receiver operating characteristic (ROC) curve (pAUC) values, which are trihexose and azelaic acid, however, the deviation from the mean value is higher. Trihexose and azelaic acid also exhibited the highest specificity for discrimination. However, all biomarkers showed low sensitivity values. It was suggested by Calderón-Santiago et al. [27] to use several biomarkers simultaneously to increase the specificity and sensitivity of diagnosis.

The values from the table were used to select two biomarkers for analysis of the sensitivity of the SDI along with the availability, cost, and delivery time of biomarkers

TABLE I

PARAMETERS OF THE ROC CURVES FOR CHOSEN METABOLITES, [27]

Marker	%pAUC (95% CI <sup>a</sup> )	%Specificity (95% CI)	%Sensitivity (95% CI)
Trihexose	10.9 (4.0-16.0)	94.1 (85.1-100.0)	63.6 (45.5-81.8)
Tetrahexose	6.1 (1.3-12.2)	82.4 (64.7-100.0)	50.0 (27.3-77.3)
Azelaic acid	9.3 (4.9-13.0)	100.0 (100.0-100.0)	40.9 (22.7-66.0)
MG (22:2)	5.0 (0.9-11.3)	88.2 (73.4-100.0)	54.5 (29.4-70.6)
Suberic acid	4.0 (0.9-8.7)	82.4 (61.6-94.1)	36.4 (18.2-59.1)

<sup>a</sup>CI: confidence interval

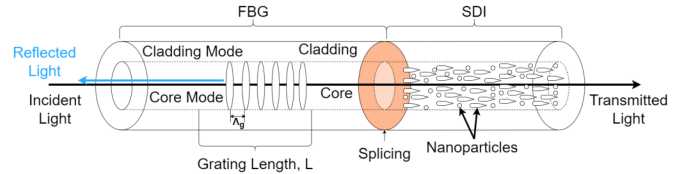


Fig. 1. Schematic of the SDI.

on the market. As a result, suberic acid and azelaic acid have been chosen as a biomarker that should be detected by the interferometer within small concentrations in the artificial sweat solution. Concentration within the 1%–4% range was used due to consideration of only 1% of analytes present in the sweat solution and a possible increase of the solutes due to the presence of the disease. The expectation was based on the sweat composition change reported for cystic fibrosis [37].

## II. METHODS

### A. Materials

All chemicals were purchased from Sigma Aldrich unless stated otherwise. Glacial acetic acid (99%), ammonium chloride (>99.5%), lactic acid (>88%), sodium chloride (99%), urea (>99.5%), sodium hydroxide (>98%), azelaic acid (>98%), suberic acid (>99%). Pure water was used for all the studies conducted in this work.

### B. Fabrication

The high-scattering fiber forms distributed reflection within the cavity. The fabrication of the fiber was previously reported in [38], using Mg-silicate nanoparticles doped in the fiber core. The fiber has a scattering gain of 49.8 dB, which forms a mirror with reflectivity  $10^{-5}$  at the interface with the SMF fiber.

The SDI, with structure shown in Fig. 1, was fabricated on a standard SMF using a splicing machine (Fujikura 36S). The plastic protective jackets on the interferometer and SMF have been stripped away. The SMF and interferometer with nanoparticles were perfectly aligned in the  $x$ -axis under the  $CO_2$  laser, spliced by a small electric arc that melts the fibers and welds them together. For optic fiber, the splicer frequently produces splicing with an insertion loss of less than 0.1 dB. The resulting structure is a cavity similar to a Fabry-Perot interferometer, with intermediate distributed reflections due to the high number of defects in the fiber doped with Mg-silicate nanoparticles.

The FBG used in the experiment is a commercially available grating with Bragg wavelength 1550 nm inscribed with the phase mask method (Technica SA). The FBG has 0.5 cm length and reflectivity >80% (prior to splicing), while the distance between the SDI and the FBG is around 2 cm due to the splicing overhead length.

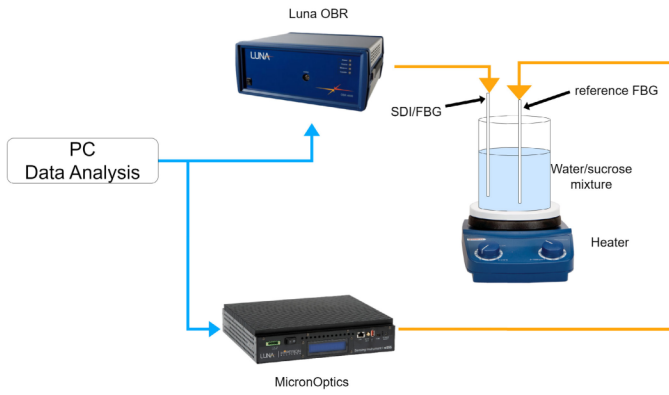


Fig. 2. Schematic of the experimental setup for RI and biomarkers analysis, where water/sucrose mixture stands for eight RI solutions for RI analysis and replaced by ten biomarker solutions for biomarker analysis.

### C. Calibration

The calibration of the sensors was performed to ensure the sensors' direct response to RI changes in their environment, with the setup illustrated in Fig. 2. The measurement of RI change was done by inserting each sensor inside the tube with a sucrose solution at different concentrations. Spectral changes were measured using Luna OBR 4600 after signal stabilization. The calibration process was done with eight RI values ranging from 1.3330 up to 1.4200. A total of eight measurement points were used to plot the graph and check the linearity of the sensor response. The OBR was set to the following parameters: wavelength range of 1530–1610 nm; resolution bandwidth of 0.086 GHz; gain of 0 dB.

### D. Biomarkers Detection

To prepare artificial sweat solutions, a standardized composition developed by the Swiss watch industry is used. Artificial sweat solution contains in g/L: 20 sodium chloride, 17.5 ammonium chloride, 5 urea, 15 lactic acid, and 2.5 glacial acetic acids. The sodium hydroxide is used for pH adjustments (a pH ranges pH from 4 to 8). Prepared solutions are kept under fume hoods and labeled accordingly.

Two-thirds of the artificial sweat solution is used for cancer sweat solution preparation. Into the solution, the metabolites with different proportions are added. Starting from 1% up to 4%, eight different solutions were prepared (with a 9 s being an artificial sweat itself). The following metabolites are used: azelaic acid and suberic acid.

The analysis of biomarkers was completed separately for each sensor. Sensors were kept inside the tube with a specific biomarker concentration. Spectral changes were measured using Luna OBR after signal stabilization. A total of five measurement points for each biomarker was obtained, used to plot the graph, and check the linearity of the sensor response. The OBR was set to the following parameters: wavelength range of 1525.0–1615.0 nm; resolution bandwidth of 0.086 GHz; gain of 0 dB.

## III. RESULTS

### A. SDI/FBG Spectral Characteristics

The spectrum of the SDI/FBG is shown in Fig. 3, whereas the sensing probe is held in different media (air, water, and 50% sucrose). We notice that the spectrum appears as the

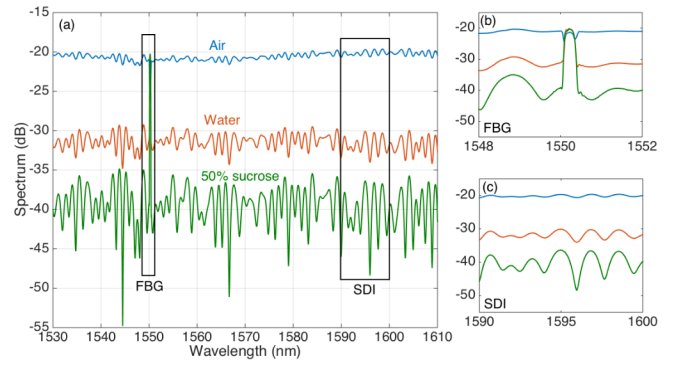


Fig. 3. Spectrum of the SDI/FBG in different media (air, water, and 50% sucrose). (a) Whole spectrum. (b) Inset showing the FBG spectral portion around 1550 nm. (c) Inset showing the SDI spectrum over a 10-nm window.

combination of two different contributions: the SDI is visible throughout the whole spectral bandwidth, and results in a periodic-like spectrum in which the periodicity is perturbed by the random reflective pattern within the cavity. The increase of external RI results in a reduction of the Fresnel reflectivity at the fiber tip, which converts in a decrease of the spectral level in correspondence to the spectral peaks and valleys; the fringe visibility is also affected, and appears to enlarge for higher RI values. The FBG has a narrow spectrum, centered around 1550.5 nm, which appears as a large perturbation in the sensor. In the air, the reflectivity of the FBG is comparable with the SDI reflection; moving to higher refractive index values (1.333 in water, up to 1.420 at 50% sucrose), the FBG appears as a spectral line with 10–20 dB increment over the floor spectrum of the SDI.

The insets in Fig. 3 show the separate contributions: the FBG records a wavelength shift that is dependent only on the temperature, while the SDI spectrum can be interrogated by selecting one spectral feature (spectral peak or dip).

### B. Sensitivity to RI and Temperature

Fig. 4 shows the RI sensitivity of the SDI/FBG probe, evaluated over 1.3344–1.4201 RI range. The first chart shows the whole spectrum, in which we can observe that the FBG maintains a constant Bragg wavelength, while the RI sensitivity causes a decrease in the spectral level across the whole spectrum. In order to interrogate the RI change, we can select a peak or dip in the spectrum far from the FBG spectral portion; the inset shows a 2-nm window around 1607–1609 nm with a spectral peak that has been chosen for the interrogation. In the third chart, we show that the intensity decreases with the RI with a sensitivity of  $-75.752$  dB/RIU, and a good linearity ( $R^2 = 0.9642$ ). The RI sensitivity is similar to other fiber-tip reflective structures such as ball resonators (93 dB/RIU as reported in [39]), and slightly higher than U-bent optical fibers [40] in which the RI is also encoded in the intensity change.

The temperature sensitivity is encoded in the FBG wavelength shift, as shown in Fig. 5. The FBG shows a Bragg wavelength that shifts as a function of temperature, with the coefficient estimated as  $9.94$  pm/°C on the range 25 °C–48 °C, which is consistent with the 10 pm/°C nominal FBG sensitivity at infrared wavelengths. When the temperature change is applied, the whole spectrum is subjected to a wavelength

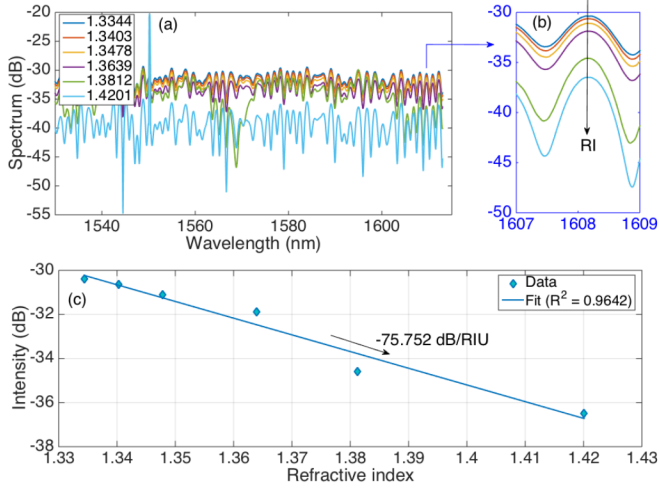


Fig. 4. Sensitivity of the SDI/FBG probe to the RI. (a) Spectrum of the probe for RI values varying from 1.3344 to 1.4201. (b) Spectral window of 2 nm width displaying a spectral peak, is used for RI detection. (c) RI sensitivity, reporting the spectral intensity in correspondence of the 1608.2 nm peak for various RI values, and its linear regression.

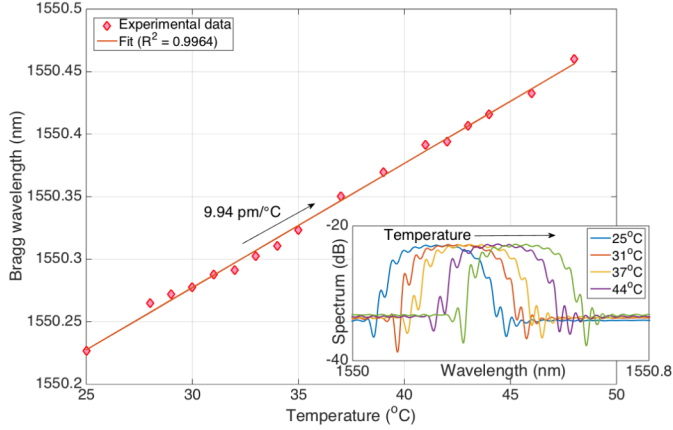


Fig. 5. Temperature sensitivity of the SDI/FBG probe, evaluated by computing the Bragg grating wavelength shift as a function of temperature. The inset shows the spectral portion corresponding to the FBG, and its wavelength shift as the temperature increases.

redshift; since the FBG spectrum is wavelength insensitive, and as shown in Fig. 3 its intensity does not change for small changes of RI, the FBG allows one to decouple of the temperature sensitivity from the RI in an almost perfect fashion.

Fig. 6 shows the cross-sensitivities, i.e., the SDI intensity change as a function of temperature variations, and the Bragg wavelength shift as a function of the RI change. The FBG sensitivity to the RI is spurious, and the sensitivity is estimated as  $-77.86$  pm/RIU; conversely, the intensity change in the SDI sensor has a marginal temperature pattern ( $0.0049$  dB/°C).

In formulas, labeling  $\Delta I_{SDI}$  as the intensity change recorded by the SDI interferometer, and  $\Delta \lambda_{FBG}$  as the wavelength shift of the FBG, we can write

$$\begin{bmatrix} \Delta I_{SDI} \\ \Delta \lambda_{FBG} \end{bmatrix} = \begin{bmatrix} -75.752 \text{ dB/RIU} & 0.0016 \text{ dB/}^\circ\text{C} \\ -77.86 \text{ pm/RIU} & 9.94 \text{ pm/}^\circ\text{C} \end{bmatrix} \begin{bmatrix} \Delta n \\ \Delta T \end{bmatrix} \quad (1)$$

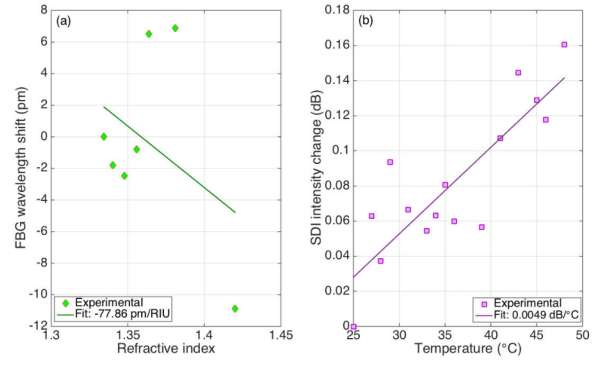


Fig. 6. Cross-sensitivity analysis of the SDI/FBG probe. (a) Wavelength shift of the FBG as a function of the RI. (b) Intensity change in the SDI spectral peak as a function of temperature. The charts report the experimental data and the linear regression.

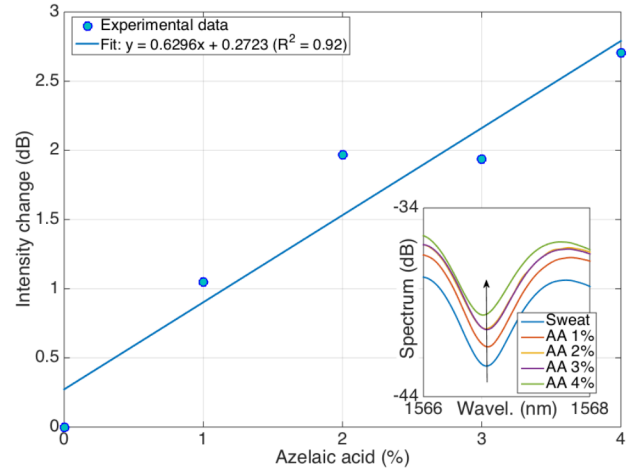


Fig. 7. Azelaic acid detection, reporting the intensity change of the SDI for various AA concentrations (1%–4%) with respect to artificial sweat. The inset shows the spectral portion taken into consideration for the analysis.

where  $\Delta n$  is the RI change and  $\Delta T$  is the temperature change. A temperature variation of  $1$  °C would lead to a change of  $0.0049$  dB, corresponding to  $-6.47^\circ \times 10^{-5}$  RIU, while a change of  $10^{-3}$  RIU would lead to a change of  $-0.078$  pm corresponding to  $0.0078$  °C, proving that the cross-sensitivity is an almost negligible term and therefore the two components disambiguate RI and temperature almost perfectly. This is proven by the fact that the determinant of the inner matrix is  $-752.59$  dB pm RIU $^{-1}$ °C $^{-1}$ , while the product of the elements on the main diagonal is almost identical,  $-752.97$  dB pm RIU $^{-1}$ °C $^{-1}$ . We can reverse (1) to obtain the multiparametric sensing

$$\begin{bmatrix} \Delta n \\ \Delta T \end{bmatrix} = \begin{bmatrix} -1.321 \times 10^{-2} \text{ RIU/dB} & 6.51 \times 10^{-6} \text{ RIU/pm} \\ -0.1034 \text{ }^\circ\text{C/RIU} & 0.1006 \text{ }^\circ\text{C/pm} \end{bmatrix} \times \begin{bmatrix} \Delta I_{SDI} \\ \Delta \lambda_{FBG} \end{bmatrix}. \quad (2)$$

### C. Sweat Biomarkers Detection

The detection of azelaic acid is shown in Fig. 7, in a range between 0% and 4% and including also the artificial sweat. We observe a quite large intensity change (1.05 dB) moving from sweat to 1% AA; then, raising up to 4% AA we observe a

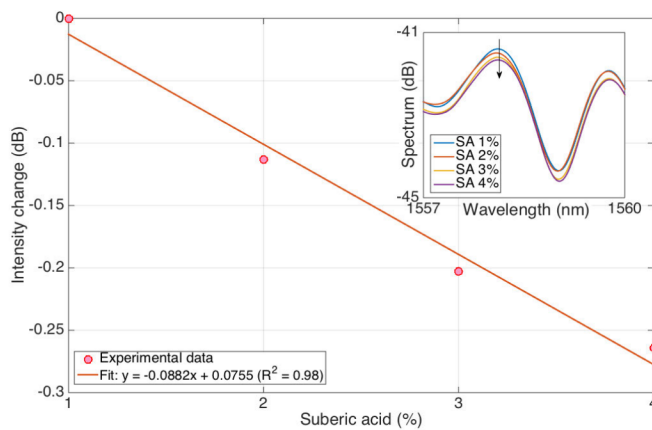


Fig. 8. Suberic acid detection, reporting the intensity change of the SDI for various SA concentrations (1%–4%). The inset shows the spectral portion taken into consideration for the analysis.

total increment of the intensity of 2.70 dB. A linear regression shows that the estimated sensitivity is equal to 0.6296 dB/RIU.

The detection of suberic acid is shown in Fig. 8; in this case, we observe a negative response, with a lower intensity change equal to  $-0.0882$  dB/RIU, which is 86% inferior to the response in SA.

Investigating the performance of the SDI/FBG probe by measuring the changes in sweat biomarkers concentration allowed to see the behavior of the sensor. The intensity change has a positive linear relationship with an increase in the azelaic acid concentration. As for suberic acid, it has an inversely proportional relationship. Thus, based on the behavioral pattern, the SDI/FBG probe is able to sense cancer biomarkers, the concentration of which increases with the cancer stage progression.

The performance of the SDI/FBG probe can be compared to the previously proposed sensing methods: the electrochemical method used for lactate [41], uric acid [42], metal ions [43], and dopamine [44] analytes; the fluorescence method for creatinine analytes [45]; the colorimetric method for glucose and lactate analytes [46], [47], [48], [49].

#### IV. CONCLUSION

We reported the fabrication and interrogation of a dual-sensing fiber-optic probe formed by a SDI assisted by a fiber Bragg grating. The SDI is simply formed as a short distributed cavity, made with a fiber doped with Mg-silicate nanoparticles; here we exploit the reflection of this fiber at the interface with an SMF fiber to form a reflective mirror, terminated on the cleaved fiber tip to form the second element of the cavity. The FBG introduces a temperature sensitivity which allows the sensor to detect ambient or body temperature changes, in biomedical applications. The obtained sensitivity values are 75.752 dB/RIU for RI, and 9.94 pm/°C for temperature, with negligible cross-sensitivity.

To prove the possibility of using the SDI/FBG probe in biomedical applications, we employed the sensing device to measure the changes in sweat biomarkers, including azelaic acid and suberic acid, both in the 1%–4% concentration range; we obtained a sensitivity of 0.629 dB/% for AA, and a lower and reversed trend of  $-0.088$  dB/% for SA.

This work shows the premises for the application of the SDI/FBG probe in wearable sensors, for the possible detection

of sweat biomarkers. The sensor can perform a detection of the body temperature as well as the RI which can be tied to a sweat marker, using a wearable package such as a smart textile [50], [51]. Since the SDI is a broadband sensor, while the FBG occupies a very narrow spectral slice, it is possible to envision also the integration of strain sensors for adding the breathing pattern detection [8]. Overall, the SDI/FBG probe is a versatile platform for biomedical sensing, and future work will revolve on its wearable packaging and in situ-specific detection of sweat biomarkers.

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